

## Some preliminary note

- This is an odd course. It is about ADS/CFT  
[a too developed topic]. Five lectures cannot make it

I could go with some basics. But last week  
you had a five lectures they nice first course by  
Diego Trnconelli.

- But the dutch side of the audience did not  
have that course [but probably had some other?].

- I was asked by the organisers to discuss  
specific models and calculations

- The audience is heterogeneous 

So I need to "cater" for all of them.

- So: I will start with a quite old thing today  
but is a very powerful idea: Duality.

I stole from many sources to write this lecture on Duality. See my CP3 origins video lecture [go to CP3 origins, they have a CP3 Tube, write my name] there, I gave references and details.

I also used an old set of papers by

Burgess and Quevedo 9401105 | Polchinski  
and associated lectures by Quevedo 9706210 | December 2014  
Soviet Rev. Mod  
Physics

- You will see a long set of problems.

I think that doing one or two a day is enough.

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PHYSICS 130

Consider a typical QFT in your course.

$$L = -\frac{1}{2}(\partial_\mu \phi)^2 - \frac{\lambda}{24} \phi^4$$

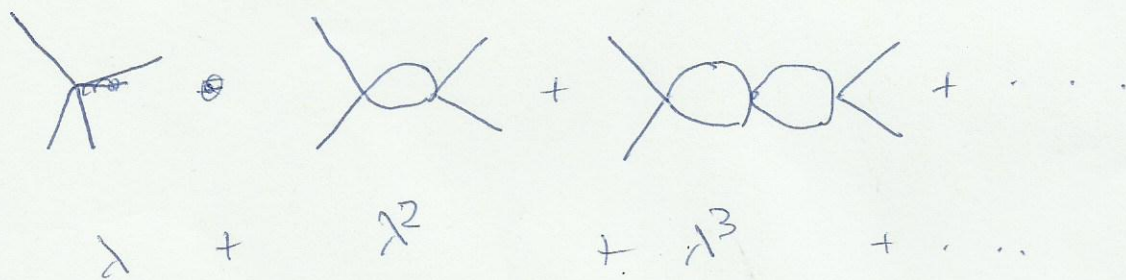
→ self interaction scalar



a given process (or the propagator, for example)

$$\text{---} = \frac{\text{free}}{\lambda^0} + \frac{\text{loop}}{\lambda} + \frac{\text{two loops}}{\lambda^2} + \frac{\text{three loops}}{\lambda^2 + \lambda^3 + \dots}$$

if  $\lambda$  is small it is good to calculate a couple of diagrams (modulo renormalizations).

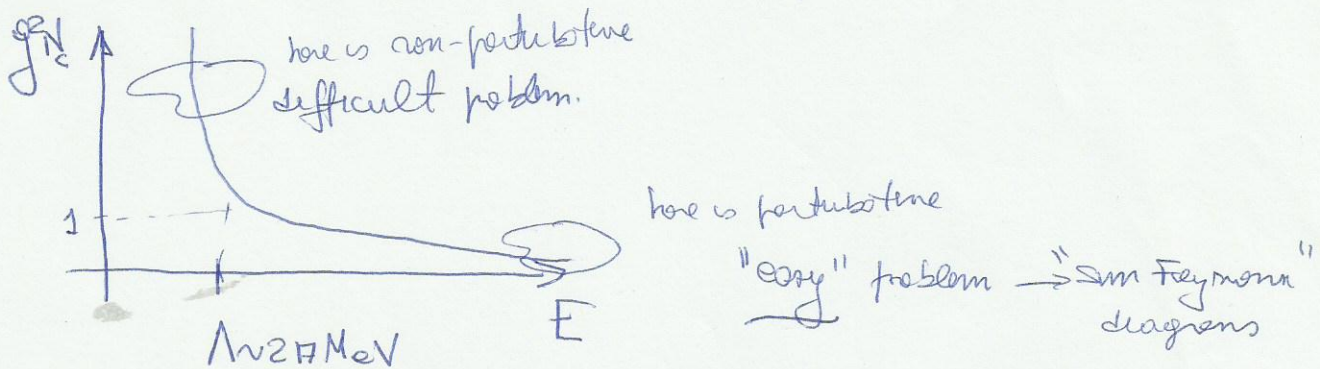


If, on the other hand,  $\lambda$  is  $\lambda \geq 1$  calculating diagrams is not a way to go.

→ non-perturbative problem → is this interesting?

Are non-perturbative problems interesting in Physics?

Yes; for example consider QCD



To the non-perturbative problem of interest?

Yes: formation of proton  
" " pion and other particles, etc

So, what do we do? People have developed different approaches

- Lattice : in the case of YM and QCD  $\rightarrow$  best. but with approximations as soon as you start changing the theory slightly.

- Some QFT elaborations: Schwinger-Dyson eq. Instantons etc [not much [same]]

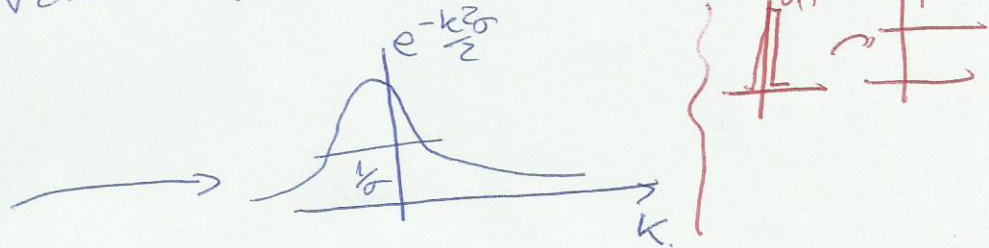
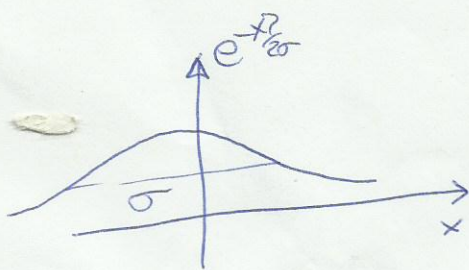
- Duality (of which "effective field theory") is a particular case

What will I mean by "Duality"?

Let me explain this with examples

Example 1: Fourier transform and uncertainty principle

$$F\left(\frac{e^{-x^2/2\sigma}}{\sqrt{2\pi\sigma}}\right) = \frac{1}{\sqrt{2\pi\sigma}} \int_{-\infty}^{\infty} dx e^{ikx} e^{-x^2/2\sigma} = e^{-\frac{k^2\sigma}{2}}$$



Example 2: Maxwell eqs. (in vacuum)  $\begin{cases} \vec{J}=0 \\ \rho=0 \end{cases}$

$$\begin{cases} \nabla \cdot \vec{E} = 0 \\ \nabla \times \vec{B} = +\partial_t \vec{E} \end{cases}$$

$$\begin{cases} \nabla \cdot \vec{B} = 0 \\ \nabla \times \vec{E} = -\partial_t \vec{B} \end{cases}$$

$$\partial_\mu F^{\mu\nu} = \vec{J}^{\nu} \rightarrow 0$$

$$\partial_\mu \tilde{F}^{\mu\nu} = 0 \\ dF = 0$$

we see their invariance if we exchange

$$\begin{aligned} \vec{E} &\rightarrow -\vec{B} \\ \vec{B} &\rightarrow \vec{E} \end{aligned}$$

$$F_{\mu\nu} \rightarrow \epsilon_{\mu\nu\rho\sigma} F^{\rho\sigma}$$

$$F_{\mu\nu} \rightarrow {}^*F_{\mu\nu}$$

Example 3: Bosonization (in 1+1d) [Coleman + Mandelstam (1975)]

$$\mathcal{L} = -\frac{1}{2}(\partial_\mu \phi)^2 + \frac{\alpha}{\beta^2} \cos(\beta\phi)$$

$$J_\mu = \epsilon_{\mu\nu} \partial_\nu \phi$$

Some  $\longleftrightarrow$

$$\mathcal{L} = i\psi \not{\partial} \psi + g_2 (\bar{\psi} \gamma_\mu \psi)^2$$

$$J_\mu = \bar{\psi} \gamma_\mu \psi$$

# Order / disorder operators

how to interpret the new variables

$$\sigma_i ?$$

Calculates  $\langle S_i \rangle$  ;  $\langle \sigma_i \rangle$

$$\langle S_i \rangle = \lim_{h_i \rightarrow 0} \frac{d}{dh_i} \lg Z[h]$$

$$\langle S_i \rangle = \frac{1}{Z[0]} \left. \frac{d}{dh} Z[h] \right|_{h=0}$$

$$Z[h] = \sum_{\{S\}} e^{\beta \left( \sum_{\langle ij \rangle} S_i S_j + \sum h_i S_i \right)}$$

for  $\beta < \beta_c$  low temperatures

$\beta > \beta_c$  high temperatures

$$\langle S_i \rangle = 1$$

$$\langle S_i \rangle = 0$$

$$\langle \sigma_i \rangle = 0$$

$$\langle \sigma_i \rangle = 1$$

No simple one to one correspondence

between  $\{S_i\} \rightarrow \{\sigma_i\}$

$$\frac{4\pi}{\beta^2} \longrightarrow 1 + \frac{g}{\beta}$$

$$e^{\int \phi dx} \xrightarrow{\epsilon} \psi$$

Example 4 Ising Model in 1+1 (Kramers + Wannier) 1940

Spins on a line  $\uparrow \downarrow \uparrow \uparrow \uparrow \downarrow \uparrow \uparrow \downarrow$

$$H = -J \sum_{\langle ij \rangle} S_i S_j$$

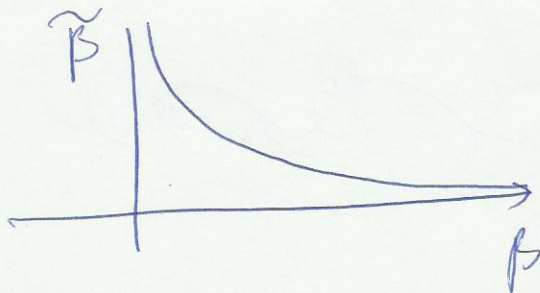
$$Z = \sum_{\{S\}} e^{\beta \sum_{\langle ij \rangle} S_i S_j}$$

↷ nearest neighbours.

$$\beta = -\frac{J}{kT}$$

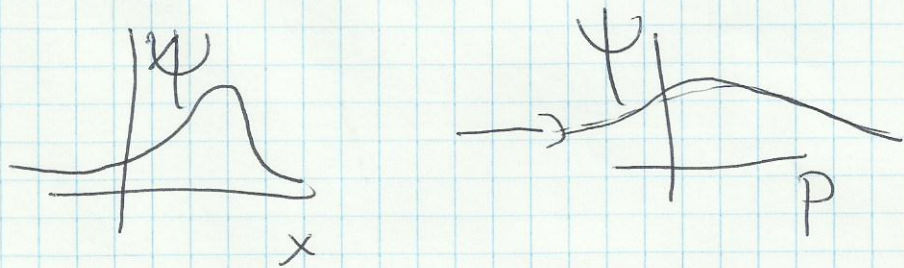
one can show that this infinite sum can be written as

$$Z = \sum_{\{S\}} \frac{1}{2 \text{sh } 2\tilde{\beta}} e^{\tilde{\beta} \sum_{\langle ij \rangle} \sigma_i \sigma_j} \quad \text{where } e^{2\tilde{\beta}} = \cosh \beta$$



- Like in usual Fourier transform  
the configurations of the "disorder"  
operator are sums of configurations  
of "order" operators.

- When the "order" operators are  
very fluctuating (high temperature)  
the "disorder" operators are almost classical  
Same as Fourier





So, there are some "characteristic" things to all these examples

- Exchange eqs of motion  $\longleftrightarrow$  Bianchi identities
- preserve some global symmetries
- Exchange weak and strong "coupling"
- Degrees of freedom on both dual descriptions are quite different.
- Actually one set of degrees of freedom is a superposition of all the others degrees of freedom in the dual description  
(Fermion /  $\otimes$  Ising / Bosonization)

◦ When one set of degrees of freedom is very fluctuating <sup>(Quantum Mechanical)</sup> the dual set is "almost classical".



So, Very Quantum Mechanical problem  
(like a non-perturbative problem) turns  
semiclassical in a given dual description.

→ hence the use of duality!

There are many other dualities (in diverse dimensions  
and using different degrees of freedom theories) They all  
follow similar patterns. and the previous points.

# Basis on Path Integral

Suppose a Lagrangian  $\mathcal{L}(\phi, A_\mu, \psi)$   
the Quantum Mechanics of the system is  
described by

$$Z[J_1, J_\mu, \eta] = \int D\phi D A_\mu D\bar{\psi} D\psi e^{-\frac{i}{\hbar} \int \mathcal{L} d^4x + J_1 \phi + J_\mu \partial_\mu \psi + \eta \bar{\psi}}$$

that also calculates partition functions  $\rightarrow$  observables

A typical trick to impose a condition is using a

Lagrange multiplier [a field without dynamics]

$$\mathcal{L} = \left[ \mathcal{L}[\phi, A_\mu, \psi, \bar{\psi}] + \Lambda (\phi^2 - 1) \right] \text{ this imposes } \phi^2 = 1$$

$$\text{S.t.} \quad \frac{\partial \mathcal{L}}{\partial \Lambda} = \phi^2 - 1 = 0 \quad \checkmark$$

Many times, Path Integrals can be explicitly evaluated  
(quadratic actions)  $\rightarrow$  "free problems"

$$\int D\phi e^{-\int \frac{1}{2} \phi \hat{\Theta} \phi} \sim [\det \hat{\Theta}]^{\frac{1}{2}} \quad \boxed{\Theta = \square + m^2}$$

# Duality "procedure"

- Detect a global symmetry
- Gauge it; but impose the gauge field is not propagating via a Lagrange multiplier
- Integrate "the other way around" as that is the dual theory.

Example = Bosonization

- T-duality

- Ising model

$d = 1+1$  Bosonization (Abelian).

Suppose that we start with a ~~spin~~ globally symmetric fermionic (Dirac) theory

$$\mathcal{L} = i \bar{\psi} \not{\partial} \psi.$$

and we add an external current

$$\mathcal{L}_{\text{int}} = i \bar{\psi} (\not{\partial} + \not{A}) \psi$$

$$\rightarrow Z[S_\mu] = \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp \left[ i \int d^2x \bar{\psi} (\not{\partial} + \not{A}) \psi \right]$$

① the Lagrangian and the  $Z[S_\mu]$  have a global

symmetry.

$$\psi \rightarrow e^{i\alpha} \psi$$

$$\bar{\psi} \rightarrow \bar{\psi} e^{-i\alpha}$$

② we gauge that symmetry.

$$Z[S_\mu] = \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{D}A_\mu \exp \left[ i \int d^2x \bar{\psi} (\not{\partial} + \not{A} + \not{S}) \psi \right]$$

• but we impose no dynamics for  $A_\mu$   $\rightarrow \delta(F_{\mu\nu} = 0)$

We rewrite it in this way (and we gauge fix)

$$Z[S_\mu] = \int D\bar{\Psi} D\Psi DA_\mu D\Lambda \times$$

$$\exp \left\{ i \int d^3x \bar{\Psi} (\not{\partial} + \not{A} + \not{Z}) \Psi + \frac{1}{2} (\epsilon_{\mu\nu} F_{\mu\nu}) \right\}$$

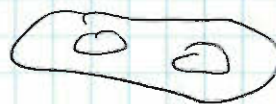
$$\circ \int (\partial_\mu A^\mu)$$

↳ gauge fixing term

- So, if we integrate over  $\Lambda \rightsquigarrow F_{\mu\nu} = 0 \rightarrow A_\mu = \partial_\mu \chi$

together with the gauge condition  $\partial_\mu A^\mu = 0$

implies  $\chi = \text{const} \rightsquigarrow A_\mu = 0$  [in spaces with nontrivial topology this may not be true]



But the dual version is obtained by integrating

- fermions

- ~~gauge field~~

→ giving an action for " $\Lambda$ "

$$e^{-S[\Lambda, s]} = \int DA_\mu D\bar{\Psi} D\Psi e^{i \int d^2x \bar{\Psi} (\not{\partial} + \not{A} + \not{s}) \Psi + \frac{\Lambda}{2} \epsilon_{\mu\nu} F_{\mu\nu}} \delta(\partial_\mu A^\mu)$$

$$* \int D\bar{\Psi} D\Psi = \det(\not{\partial} + \not{A} + \not{s}) \quad (\text{Schwinger 1962})$$

$$= e^{i \frac{\Lambda}{4\pi} \int d^2x F_{\mu\nu} \frac{1}{\square} F_{\mu\nu}} \quad \leftarrow \begin{matrix} \text{(allow me to} \\ \text{write } S_{\mu=0}) \end{matrix}$$

So we have

$$\int DA_\mu e^{i \frac{\Lambda}{4\pi} \int d^2x F_{\mu\nu} \frac{1}{\square} F_{\mu\nu} + \frac{\Lambda}{2} \epsilon_{\mu\nu} F_{\mu\nu}} \delta(\partial_\mu A^\mu)$$

Now, we make a change of variables

$$A_\mu = \partial_\mu \chi + \epsilon_{\mu\nu} \partial_\nu \varphi$$

in the gauge  $\partial_\mu A^\mu = 0 \rightsquigarrow \square \chi = 0 \rightarrow \chi = \text{constant}$

$$\rightsquigarrow A_\mu = \epsilon_{\mu\alpha} \partial_\alpha \varphi$$

Then we refer to solutions

$$DA_\mu \rightsquigarrow J D\varphi$$

$$J = \frac{\delta A_\mu}{\delta \varphi} = \epsilon_{\mu\nu} \partial_\nu$$

$$[F_{\mu\nu} = \partial_\nu \partial_\mu \varphi - \epsilon_{\mu\alpha} \partial_\nu \partial_\alpha \varphi]$$

$$\int D\varphi \exp\left\{-i \left[ \frac{F_{\mu\nu}}{4\pi} \frac{1}{\square} F_{\mu\nu} + \frac{\Lambda}{2} \epsilon_{\mu\nu} F_{\mu\nu} \right]\right\}$$

$$\left. \begin{aligned} A_\mu &= \epsilon_{\mu\alpha} \partial_\alpha \varphi \\ A_\nu &= \epsilon_{\nu\alpha} \partial_\alpha \varphi \end{aligned} \right\} F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

$$F_{\mu\nu} = \epsilon_{\nu\alpha} \partial_\mu \partial_\alpha \varphi - \epsilon_{\mu\alpha} \partial_\nu \partial_\alpha \varphi$$

$$\frac{F_{\mu\nu} F^{\mu\nu}}{\Omega} = \epsilon_{\nu\alpha} \partial_\mu \partial_\alpha \varphi \epsilon_{\nu\beta} \partial_\mu \partial_\beta \varphi - 2 \epsilon_{\nu\alpha} \epsilon_{\mu\beta} \partial_\mu \partial_\alpha \varphi \partial_\nu \partial_\beta \varphi + \epsilon_{\mu\alpha} \epsilon_{\mu\beta} \partial_\nu \partial_\alpha \varphi \partial_\nu \partial_\beta \varphi$$

$$\epsilon_{\mu\nu} F_{\mu\nu} = \epsilon_{\mu\nu} \epsilon_{\nu\alpha} \partial_\mu \partial_\alpha \varphi - \epsilon_{\mu\nu} \epsilon_{\mu\alpha} \partial_\nu \partial_\alpha \varphi$$

$$\left[ \frac{\epsilon_{\mu\nu} F_{\mu\nu}}{2} = \frac{1}{2} (\delta_{\mu\alpha} \partial_\mu \partial_\alpha \varphi - (-\delta_{\nu\alpha}) \partial_\nu \partial_\alpha \varphi) = 0 \square \varphi \right]$$

$$\frac{F_1 F}{\Omega} = 2 \epsilon_{\nu\alpha} \epsilon_{\nu\beta} \partial_\mu \partial_\alpha \varphi \partial_\mu \partial_\beta \varphi - 2 \epsilon_{\nu\alpha} \epsilon_{\mu\beta} \partial_\mu \partial_\alpha \varphi \partial_\nu \partial_\beta \varphi$$

$$= 2 \delta_{\alpha\beta} \partial_\mu \partial_\alpha \varphi \partial_\mu \partial_\beta \varphi - 2 (\delta_{\mu\nu} \delta_{\alpha\beta} - \delta_{\nu\beta} \delta_{\alpha\mu}) (\partial_\mu \partial_\alpha \varphi) (\partial_\nu \partial_\beta \varphi)$$

$$= 2 (\cancel{\partial_\mu \partial_\alpha \varphi} \cancel{\partial_\mu \partial_\alpha \varphi}) - 2 (\cancel{\partial_\mu \partial_\alpha \varphi} \cancel{\partial_\mu \partial_\alpha \varphi}) + 2 (\partial_\mu \partial_\alpha \varphi) (\partial_\nu \partial_\nu \varphi)$$

$$\frac{F_1 F}{\Omega} = \frac{2}{\Omega} \square \varphi \square \varphi = 2 \varphi \square \varphi.$$

$$\Rightarrow \int \square \varphi \cdot \exp \left[ -i \int d^2x \frac{1}{2\Omega} \varphi \square \varphi + \Lambda \square \varphi \right]$$

$$\text{Integrate by parts } \left[ \square \varphi \exp \left[ -i \int d^2x \varphi \square \varphi + \square \Lambda \varphi \right] \right]$$



Now, Integrate  $\varphi$

introduce  $S_\mu$   
back

$$\int \varphi e^{(\dots)} = e^{-i \int d^2x \left[ \frac{\pi}{2} \partial_\mu \Lambda \partial_\mu \Lambda + \epsilon_{\mu\nu} \partial_\nu \Lambda S_\mu \right]}$$

$$\Rightarrow \Lambda = \frac{\phi}{\sqrt{\pi}}$$

$$\mathcal{Z}[S_\mu] = \int D\phi \exp \left[ -i \int d^2x \left( \partial_\mu \phi \right)^2 + \frac{1}{\sqrt{\pi}} S_\mu \partial_\nu \phi \epsilon^{\mu\nu} \right]$$

we see that

$$i \bar{\psi} \partial \psi \longleftrightarrow \frac{1}{2} (\partial \phi)^2$$

$$g \bar{\psi} \gamma_\mu \psi \longleftrightarrow \frac{1}{g} \frac{\epsilon_{\mu\nu}}{\sqrt{\pi}} \partial_\nu \phi$$

• Notice that  $g \leftrightarrow \frac{1}{g}$

• Noether current  $\longrightarrow$  topological current

Conserved thanks to

$\partial \psi = 0$

fermion

$\longrightarrow$

Conserved  
Solitons

Another way of doing the integrals

Back to the "initial" path Integral

$$Z[s_\mu] = \int D\bar{\Psi} D\Psi D A_\mu D \Lambda \exp \left[ -i \int d^2x \bar{\Psi} (\not{\partial} + \not{A}) \Psi + \frac{\Lambda}{2} \epsilon_{\mu\nu} F_{\mu\nu} \right] \cdot \mathcal{S}(s_\mu)$$

re-write it as

$$Z[s_\mu] = \int D\Psi D\bar{\Psi} D A_\mu D \Lambda D \omega$$

$$\exp \left[ -i \int d^2x \bar{\Psi} (\not{\partial} + \not{A}) \Psi + \frac{\Lambda}{2} \epsilon_{\mu\nu} F_{\mu\nu} + \underbrace{\omega \partial_\mu A^\mu}_{-A^\mu \partial_\mu \omega} \right]$$

$\int D\text{fields}_x$

$$= \exp \left[ -i \int d^2x \bar{\Psi} (\not{\partial} + \not{A}) \Psi + \frac{\Lambda}{2} \left( \bar{\Psi} \gamma^{\mu\nu} \Psi - \epsilon_{\mu\nu} \partial_\nu \Lambda - \partial_\mu \omega \right) \right]$$

$\Rightarrow$  Integrate over  $\Lambda, \omega$

$$Z[s_\mu] = \int D\bar{\Psi} D\Psi D A_\mu D \omega e^{-i \int d^2x \bar{\Psi} (\not{\partial} + \not{A}) \Psi} \cdot \int \left[ \bar{\Psi} \gamma^{\mu\nu} \Psi - \epsilon_{\mu\nu} \partial_\nu \Lambda - \partial_\mu \omega \right]$$

So, we have

$$Z[S_\mu] = \int D\bar{\Psi} D\Psi D\Lambda D\omega e^{-i\int d^4x \bar{\Psi}(\not{\partial} + \not{S})\Psi} e^{\int (\bar{\Psi} \gamma^\mu \Psi - \epsilon_{\mu\nu} \partial_\nu \Lambda - \partial_\mu \omega)}$$

how to calculate this path integral?

El punto es considerar la axial symmetry

$$\bar{\Psi} \gamma^\mu \gamma_5 \Psi \quad \text{que se acopla a } S_\mu \rightarrow b_\mu + \frac{g}{n}$$

← axial vector

basado en axial symmetry

$$\bar{\Psi} \rightarrow \bar{\Psi} e^{i\alpha \gamma_5}$$

$$b_\mu \rightarrow b_\mu + \partial_\mu \alpha$$

$$\Psi \rightarrow e^{i\alpha \gamma_5} \Psi$$

esta simetría es anómala  $\rightsquigarrow$

$$i \bar{\Psi} \gamma_\mu \gamma_5 \Psi \rightarrow i \bar{\Psi} \gamma_\mu \gamma_5 \Psi + \frac{1}{n} \partial_\mu \alpha$$

$$i \bar{\Psi} \gamma_\mu \Psi \rightarrow i \bar{\Psi} \gamma_\mu \Psi - \frac{1}{n} \epsilon_{\mu\nu} \partial_\nu \alpha$$

El lagrangiano

$$\mathcal{L}_F = i \bar{\Psi} (\not{\partial} + i \gamma_\mu A_\mu + i \gamma_\mu \gamma_5 b_\mu) \Psi \quad \text{transferencia de signos}$$

$$\mathcal{L} = \mathcal{L}_F + \frac{1}{n} \partial_\mu \alpha (b_\mu + \epsilon_{\mu\nu} A_\nu)$$

El término  $\partial_\mu \alpha \epsilon_{\mu\nu} A_\nu$  es lo que nos da la anomalía!

Esto nos da se puede cancelar si uno impone

$$\Lambda \rightarrow \Lambda + \frac{\alpha}{\pi}$$

ya que la combinación

$$\bar{\Psi} \gamma^\mu \Psi - \epsilon_{\mu\nu} \partial_\nu \Lambda - \partial_\mu \omega \rightsquigarrow$$

$$\bar{\Psi} \gamma^\mu \Psi - \frac{1}{\pi} \epsilon_{\mu\nu} \partial_\nu \alpha - \epsilon_{\mu\nu} \partial_\nu \Lambda + \frac{\epsilon_{\mu\nu}}{\pi} \partial_\nu \alpha - \partial_\mu \omega.$$

→ el Lagrangiano multiplicado es básicamente como el término  $\Lambda \epsilon_{\mu\nu} F_{\mu\nu}$  el que al cambiar  $\Lambda \rightarrow \Lambda + \frac{\alpha}{\pi}$

da cuenta de la anomalía axial! →  $\Lambda$  esto lo hace

un Green-Schwarz Mechanism (GS term)

→ el Lagrangiano es  $\mathcal{L}(\Lambda, b) = \frac{1}{2} \dot{\Lambda}^2 + \frac{b^2}{2\pi}$  es invariante

$$\rightsquigarrow \mathcal{L} = -\frac{\pi}{2} \left( \begin{array}{c} \partial_\mu \Lambda - \frac{b}{\pi} \\ -\epsilon_{\mu\nu} \partial_\nu \Lambda \end{array} \right)^2 + \frac{b^2}{2\pi}$$